

13 January 2021

Ivan Tatkovich  
ASX Listings Compliance

Dear Ivan,

**Re: Appendix 3Y – Change of Director’s Interest Notice**

The Company wishes to notify the ASX that it inadvertently neglected to lodge an Appendix 3Y for a director upon the completion of an on-market trade on 24 December 2020.

We now enclose the Appendix 3Y for lodgement and have outlined below an explanation on why it has been lodged late.

**1. Explanation as to why was the Director’s Notice was lodged late**

The failure to lodge the Appendix 3Y in this instance was an administrative oversight during the Christmas and New year period.

**2. Company arrangements in place with directors to ensure that it is able to meet its disclosure obligations under listing rule 3.19A.**

- (a) Each director is informed of ASX disclosure requirements upon their appointment.
- (b) The Company has entered into agreements with each of its directors, which places an obligation on the directors to notify the Company of interests and any changes thereto so that the ASX Appendices can be lodged. Notification of any changes in a director’s direct or indirect interest in Company securities is also required under the Company’s market disclosure policy.
- (c) Standing items on the agenda of board meetings are director’s shareholding register, director’s interest register and trading window; and
- (d) Any director wishing to trade in the Company’s securities must first obtain the consent to do so from the Chairman and Company Secretary in accordance with the Company’s security trading policy. Where approved, the director must continue to comply with other requirements set out in that policy in connection with the dealing.

**3. Additional Steps the Company intends to take to ensure compliance with Listing Rules 3.19B**

The Company considers that the current arrangements as outlined above are adequate and notes that the late lodgement of the abovementioned Appendix 3Y was a result of an inadvertent oversight.

Yours sincerely,



Carl Middlehurst  
Company Secretary

## Appendix 3Y

### Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity: Appen Limited</b>
<b>ABN: 60 138 878 298</b>

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Ms Vanessa Liu
<b>Date of last notice</b>	27 March 2020

#### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	
<b>Date of change</b>	24 December 2020
<b>No. of securities held prior to change</b>	Nil
<b>Class</b>	Ordinary Shares
<b>Number acquired</b>	1,000
<b>Number disposed</b>	Nil
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$25.00 per share
<b>No. of securities held after change</b>	1,000

+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

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<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On-market trade.
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### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	Not applicable
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>Date of change</b>	
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	
<b>Interest acquired</b>	
<b>Interest disposed</b>	
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	
<b>Interest after change</b>	

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	
<b>If prior written clearance was provided, on what date was this provided?</b>	

Date of Notice: 13 January 2021

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<sup>+</sup> See chapter 19 for defined terms.